

【NEWS RELEASE】

March 19, 2026
SMBC Nikko Securities Inc.

Amalgamation of SMBC Nikko Securities (Hong Kong) and SMBC Capital Markets (Asia)

TOKYO, March 19, 2026 --- SMBC Nikko Securities Inc. announced that, following completion of the necessary governmental and regulatory processes, it amalgamated its Hong Kong subsidiaries, SMBC Nikko Securities (Hong Kong) Limited (hereinafter referred to as “SMBC Nikko Hong Kong”) and SMBC Capital Markets (Asia) Limited (hereinafter referred to as “CM Asia”). This amalgamation is intended for SMBC Nikko Securities to further strengthen and expand its financial services in Hong Kong.

As part of the amalgamation, the derivatives business of CM Asia has been transferred to the surviving entity, SMBC Nikko Hong Kong, and business operations will continue under the name of the said company.

Going forward, by enhancing its sales capabilities and operational framework to meet a variety of customer needs, SMBC Nikko Securities aims to provide higher value-added solutions with customers.

[Corporate Profile: SMBC Nikko Securities (Hong Kong) Limited]

Company Name	SMBC Nikko Securities (Hong Kong) Limited
Representative	Hironori Hattori
Head Office Location	8/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong
Ownership	SMBC Nikko Securities Inc.: 100%

[Corporate Profile: SMBC Capital Markets (Asia) Limited]

Company Name	SMBC Capital Markets (Asia) Limited
Representative	Yushi Araki
Head Office Location	8/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong
Ownership	SMBC Nikko Securities Inc.: 100%