

【NEWS RELEASE】

September 28, 2026
SMBC Nikko Securities Inc.

Personnel Change

SMBC Nikko Securities Inc. announces the following personnel change.

1. Change in Executive Officer (Effective on October 1, 2026)

Name	New	Current
	Executive Officer	Executive Officer
Antony Yates	SMBC Nikko Capital Markets Limited	Based in London & President and CEO, SMBC Nikko Capital Markets Limited