

申請不備通知の複写

本申請不備通知の複写は、MVF からお客様に実際に届いた申請不備通知の一例としてお示しするもので、不備コード等通知の内容はお客様毎に異なることにご留意ください。

Madoff Victim Fund
P.O. Box 6310
Syracuse, NY 13217-6310

Claim Number: (申請番号)

(申請者の住所)

DATE
April ●, 2016

DEADLINE
XXX ●, 2016

CLAIM DEFICIENCY NOTICE

Dear Claimant:

The Madoff Victim Fund (“MVF”) has reviewed the Claim you filed (or the Claim filed on your behalf) relating to losses you suffered in the fraud at Madoff Securities. This notice is to advise you that the Claim is **INCOMPLETE** because it is missing required information or documentation. This Notice sets forth the information that is missing, and that must be provided in order to complete your claim.

To assist you with your Claim, the following pages:

- show all transactions reported in the Claim;
- describe what information is missing ; and
- provide instructions on how to complete your Claim.

This is your last chance to supplement the information you have previously provided as part of your claim before MVF makes a recommendation to the Department of Justice (“DOJ”) regarding the disposition of your Claim. Failure to submit the required documents or information by the deadline shown above is likely to result in the denial of your claim in whole or in part.

Please reference the Claim Number on all correspondence you send to the MVF. If you need further assistance after reviewing this Notice, please contact the MVF customer service department by e-mail at info@madoffvictimfund.com or by telephone at (866) 624-3670.

Sincerely,

Madoff Victim Fund

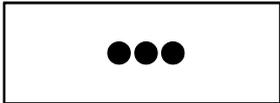
Transaction Table

The Transaction Table below shows the potentially eligible cash-in, cash-out *transactions* listed in your claim. The “Deficiency Code” column identifies any deficiencies that exist for a transaction. If there is not a “Deficiency Code” shown for a particular transaction, then MVF believes that transaction is adequately supported. However, if a transaction includes a “Deficiency Code”, that transaction is missing some form of required information.

The codes relevant to your transactions are described in the Deficiency Code Explanation section below. **It is your responsibility to provide necessary backup information, and if the deficiencies shown are not addressed, MVF will recommend to DOJ that the Claim be denied or reduced.**

Date 1	Type of Transaction ----- Investment Fund or Account	Claimed Transaction Amount (Local Currency)	Portion of Transaction Allocated to Madoff	Calculated Transaction Amount2 (USD)3	Transaction Description	Deficiency Code

In addition to any deficiencies shown in the Table above, the box below identifies any deficiencies that apply to your claim as a whole, and not to a specific transaction. An explanation of the claim deficiency is provided in the Deficiency Code Explanation section below. If a claim deficiency is not cured, then no portion of your claim can be recommended for approval.



1 Any transactions that occurred after 12/11/2008 are not included in the Transaction table.

2 The Calculated Transaction Amount reflects adjustments to the Claimed Transaction Amount as a result of the application of certain provisions of the MVF Distribution Plan. If you invested in a fund that allocated a portion of its portfolio to Madoff Securities and a portion to non-Madoff assets, the Calculated Transaction Amount shows the amount of each transaction that was allocated to Madoff Securities. Other adjustments, as explained in the Transaction Description column, may also be reflected in the Calculated Transaction Amount.

3 If you transactions were not in U.S. dollars (USD), the Calculated Transaction Amount shows the amount of each transaction in USD. Non-USD transactions have been converted to USD at the foreign exchange rate on the date of the transaction.

Deficiency Code Explanation

[MVF よりお客様のお手元に届いた申請不備通知には申請者ごとに異なる不備内容が記載されています。下記は、本書作成日現在、SMBC 日興証券にて確認された不備内容を記載したものです。]

Code	Name	Instruction
C15	Missing Transactional Data	The Petition Form you submitted did not include a listing of your cash-in/cash-out transaction showing a direct or indirect investment in Madoff Securities. Periodic position statements are not adequate. In order for MVF to further process your Claim, you should complete the transaction table in the Petition Form to show all of your deposit/purchase and withdrawals/sales/redemptions that occurred prior to December 11, 2008. You must submit documentation to support each transaction listed. Please also submit documentation showing the account balance for your Madoff-related investment(s) on or around December 11, 2008, when Madoff's fraud collapsed.
C27	Missing Death Certificate and /or Evidence of Rightful Heirs	Please provide a copy of the Death Certificate for the individual who was the original owner of the investment(s). If you have filed the Petition Form as the executor or administrator of an estate, you must provide the name, address, complete contact information and the tax identification number for each beneficiary/heir of the estate. Where the estate is closed, the heirs or beneficiaries should file their own claims with MVF. In this case, you should provide documents showing the percentage of the decedent's assets to which you are entitled.
C51	Document Needs Translation	Please submit an English translation of the document noted in the comment below. C51 – Please provide an English translation of the document provided in support of your claim.
T16	Missing Proof of Ending Market Value of Investment	In order for MVF to compute the amount of your loss attributable to the Madoff fraud, we require a copy of the last account statement you received from the entity (or entities) through which you invested in Madoff Securities. Please provide the account statement from that entity, showing the market value of your investment in it, as of the date in time closest to December 11, 2008 (but prior to such entity's writeoff of its Madoff holdings). Identifying information (e.g., the claimant name) must appear on the statement.

Please note that the submission of any information in response to this Notice is under penalty of perjury under the laws of the United States of America. You are attesting that any such information or documentation is true, correct and complete to the best of your knowledge.